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Application Number 10/529,512

Filling Date March 25, 2005

First Named Inventor White et al.

Art Unit NA

Examiner Name NA

Attorney Docket Number BER-003US1

| Tota | al Number o | f Pages in This Submission | 12 | Attorney Docker Number | BER-003U | IS1 | | | _/ |
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| ENCLOSURES (Check all that apply) | | | | | | | | | |
| V | ✓ F | ee Attached | Drawing(s) Licensing-related Papers Petition | | | | After Allowance Communication to TC Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) | | |
| | After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement | | Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table on CD | | | Proprietary Information Status Letter Other Enclosure(s) (please Identify below): | | | ify |
| Certified Copy of Priority Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 Reply to Missing Parts Under 37 CFR 1.52 or 1.53 Reply to Missing Parts Under 37 CFR 1.52 or 1.53 Reply to Missing Parts Under 37 CFR 1.52 or 1.53 | | | | n of Time Requirements olication | equirements | | | | |
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| Firm Name Keown & Associates | | | . Programme of the state of th | | | | | | |
| Signature Angle Buchen | | | ٠ | | | | | | |
| Printed name Joseph C. Zucchero | | | | | | | | | |
| Date 12/21/05 | | | Reg. No. 55,762 | | | | | | |
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I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

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CERTIFICATE OF TRANSMISSION/MAILING

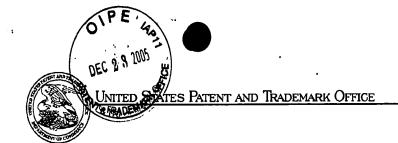
This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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| Fees pursuant to the Consolidated Appro FEE TRAN FOR FY Applicant claims small entity sta TOTAL AMOUNT OF PAYMENT | SMITTA 2005 | AL | Application Nu Filing Date First Named In Examiner Name | ventor , | 10/529,512 March 25, 20 John White | 005 | | | |
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| FEE CALCULATION | | | | • | | | | | |
| 1. BASIC FILING, SEARCH, AN | ID EXAMINATIO | N FEES | | | | 7 | | | |
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| 2. EXCESS CLAIM FEES Fee Description | | | | | Fee (| Small Entity Signature Signatur | | | |
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| HP = highest number of independent claims paid for, if greater than 3. 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer | | | | | | | | | |
| | | | | | | | listings under 37 CFR 1.52 | | |
| sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). | | | | | | | | | |
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This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to five (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPLICATION NUMBER NO.

10/529.512

FIRST NAMED APPLICANT John White

ATTY. DOCKET NO.

SWA-007US1

INTERNATIONAL APPLICATION NO.

PCT/CA03/01477

I.A. FILING DATE

PRIORITY DATE

09/25/2003

09/26/2002

Keown & Associates 500 West Cummings Park **Suite 1200** Woburn, MA 01801

CONFIRMATION NO. 4029 371 FORMALITIES LETTER *OC000000016818652*

OC000000016818652

Date Mailed: 08/31/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

| | KEOWN | I & ASSOCIAT | ES DOCKETIN | IG |
|---|----------------------|--------------|-------------|-------------|
| Indication of Small Entity Status | DOCKET NO | :_SWA (| 007US | Γ |
| Copy of the International Application filed on 03/25/2005 | | -RDO 1 | ncg Ri | <u>'</u> \D |
| Copy of the International Search Report filed on 03/25/20 | 005 | | 7 | |
| Copy of IPE Report filed on 03/25/2005 | REMINDER: | 9/30 | 105 | |
| Preliminary Amendments filed on 03/25/2005 | 1 ⁸⁷ DUE: | 10/3 | 31/05 | |
| U.S. Basic National Fees filed on 03/25/2005 | FINAL: | عاد | 25/0/2 | |
| Priority Documents filed on 03/25/2005 | | | BY: FY | X |
| | | | | |

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

• \$65 Surcharge.

- This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 CFR 1.821(c) Applicant must provide an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment specifically directing its entry into the application and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (571) 272-0951
- For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patentin Software Program Help @ ebc@uspto.gov

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

INDIA L EVANS

Telephone: (703) 308-9140 EXT 212

PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
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| 10/529.512 | PCT/CA03/01477 | SWA-007US1 |

FORM PCT/DO/EO/905 (371 Formalities Notice)